



PTO/SB/05 (4/98) Please type a plus sign (+) inside this box -> |+ Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Attorney Docket No. | HRL030 UTILITY First Inventor or Application Identifier PATENT APPLICATION Title Method and Apparatus for Incorporaing Decisionmaking into Classifiers TRANSMITTAL (Only for new nonprovisional applications under 37 C.F.R. § 1.53(b)) Express Mail Label No. EK857702665US Assistant Commissioner for Patents APPLICATION ELEMENTS ADDRESS TO: **Box Patent Application** See MPEP chapter 600 concerning utility patent application contents. Washington, DC 20231 \* Fee Transmittal Form (e.g., PTO/SB/17) Microfiche Computer Program (Appendix) (Submit an original and a duplicate for fee processing) 6. Nucleotide and/or Amino Acid Sequence Submission X [Total Pages | 38 (if applicable, all necessary) (preferred arrangement set forth below) - Descriptive title of the Invention Computer Readable Copy - Cross References to Related Applications b. Paper Copy (identical to computer copy) - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix C. Statement verifying identity of above copies - Background of the Invention ACCOMPANYING APPLICATION PARTS - Brief Summary of the Invention Assignment Papers (cover sheet & document(s)) - Brief Description of the Drawings (if filed) 37 C.F.R.§3.73(b) Statement - Detailed Description 8 (when there is an assignee) Attorney - Claim(s) 9 English Translation Document (if applicable) - Abstract of the Disclosure Information Disclosure Copies of IDS Х Drawing(s) (35 U.S.C. 113) 10 [Total Sheets 4 Statement (IDS)/PTO-1449 Citations Oath or Declaration Preliminary Amendment [Total Pages 2 Return Receipt Postcard (MPEP 503) ΙX Newly executed (original or copy) 2. X (Should be specifically itemized) Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 16 completed) Small Entity Statement filed in prior application, 3. Statement(s) Status still proper and desired **DELETION OF INVENTOR(S)** (PTO/SB/09-12) Signed statement attached deleting Certified Copy of Priority Document(s) inventor(s) named in the prior application, (if foreign priority is claimed) see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b). 5. Other: NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28). 16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment: Continuation Divisional Continuation-in-part (CIP) of prior application No: Prior application information: Examiner Group / Art Unit: For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 17. CORRESPONDENCE ADDRESS Customer Number or Bar Code Label Correspondence address below (Insert Customer No. or Attach bar code label here) Cary Tope-McKay Name 23852 Pacific Coast Highway #311 Address City Malibu CA State 90265 Zip Code

Telephone (310) 589-5910 Name (Print/Type) Cary Tope McKay Registration No. (Attorney/Agent) 41,350 Sianature 10

(310) 291-0390

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



USA

Country



PTO/SB/17 (12/99)

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FE	ΕŢ	RA	N	SM	ITT	AL
	fc	r F	Y	<b>20</b> 0	0	

Patent fees are subject to annual revision. Small Enlity payments <u>must</u> be supported by a small enlity statement, otherwise large enlity fees must be paid. See Forms PTO/SB/09-12.

See 37 C.F.R. §§ 1.27 and 1.28.

(\$) 834.00 **TOTAL AMOUNT OF PAYMENT** 

WARNING:

Ce	TG TG		
Application Number		24	
Filing Date	04/03/2000	51.0	
First Named Inventor	Love	200	
Examiner Name		98	
Group / Art Unit		<b>60</b>	
Attorney Docket No.	HRL030		

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)						
The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:		3. ADDITIONAL FEES Large Entity Small Entity					
Deposit	Fee	le (\$)	Fee	Fee ie (\$)	Fee Description	Fee Paid	
Account Number	105		205	65	Surcharge - late filing fee or oath		
Deposit Account		50	227	25	Surcharge - late provisional filing fee or cover sheet.		
Name		130	139	130	Non-English specification		
Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17	147	2,520	147	2,520	For filing a request for reexamination		
2. X Payment Enclosed:	112	920*	112	920*	Requesting publication of SIR prior to Examiner action		
Check Money Order Other	113	1,840*	113	1,840	Requesting publication of SIR after     Examiner action		
FEE CALCULATION	115	110	215	55	Extension for reply within first month		
1. BASIC FILING FEE	116	380	216	190	Extension for reply within second month		
Large Entity Small Entity	117	870	217	435	Extension for reply within third month		
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	118	1,360	218	680	Extension for reply within fourth month		
404 600 004 045 4888 58	128	1,850	228	925	Extension for reply within fifth month		
101 690 201 345 Utility filing fee 690.00	119	300	219	150	Notice of Appeal		
107 480 207 240 Plant filing fee	120	300	220	150	Filing a brief in support of an appeal		
108 690 208 345 Reissue filing fee	121	260	221	130	Request for oral hearing		
114 150 214 75 Provisional filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding		
	140	110	240	55	Petition to revive - unavoidable		
SUBTOTAL (1) (\$) 690	141	1,210	241	605	Petition to revive - unintentional		
2. EXTRA CLAIM FEES	142	1,210	242	605	Utility issue fee (or reissue)		
Fee from  Ext <u>ra Claims below</u> Fee Paid	143	430	243	215	Design issue fee		
Total Claims 28 -20** = 8 x 18 = 144	144	580	244	290	Plant issue fee	<del></del>	
Independent 3 - 3 - = 0 x 78 = 0	122 123	130 50	122 123	130 50	Petitions to the Commissioner		
**or number previously paid, if greater; For Reissues, see below	126	240			Petitions related to provisional applications		
Large Entity Small Entity	581		126	240	Submission of Information Disclosure Stmt		
Fee Fee Fee Fee Description Code (\$) Code (\$)		40	581	40	Recording each patent assignment per property (times number of properties)		
103 18 203 9 Claims in excess of 20 102 78 202 39 Independent claims in excess of 3	146	690	246	345	Filing a submission after final rejection (37 CFR § 1.129(a))		
102 78 202 39 Independent claims in excess of 3 104 260 204 130 Multiple dependent claim, if not paid	149	690	249	345	For each additional invention to be		
109 78 209 39 ** Reissue independent claims over original patent	Othor	ioo (oo-	-:6.\		examined (37 CFR § 1.129(b))		
110 18 210 9 ** Reissue claims in excess of 20	_	Other fee (specify)					
and over original patent	Other f	ee (spe	cify)				
SUBTOTAL (2) (\$) 144.00	Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) ()						
SUBMITTED BY Complete (if applicable)							
Name (PrintiType) Cary Tope-Moking		Registra Attorney		Vo. 4	11,350 Telephone (310) 291-	0390	
Signature				-	Date / / / / / / / / / / / / / / / / / / /	/	

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.



10-12-00





PTO/SB/93 (10-96)
Approved for use through 10/31/99. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

09/686112 09/686112 00/10/00

## Certificate under 37 CFR 1.10 of Mailing by "Express Mail"

EK85770266545

"Express Mail" label number

Date of Deposit

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of person mailing correspondence

Cary Tope-McKay

Typed or printed name of person mailing correspondence

Note: Each paper must have its own certificate of mailing by "Express Mail".